Letter-Distribution and Authorship in Early Greek Epics

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Résumé. Pour cette recherche, les épopées grecques sont divisées en 80 portions de texte. Celles-ci sont classées selon une analyse de groupes basée sur une comparaison des occurrences de lettres par paires au moyen du χ^2 . Dans ce but, chaque occurrence d'une lettre dans un mot peut être comptée, ou on peut ne prendre en compte qu'une occurrence par mot, mais il semble préférable d'utiliser un système de pondération à poids décroissants. Bien qu'une certaine homogénéité soit attestée aussi bien dans l'*Iliade* que dans l'*Odyssée*, certains des passages les plus suspects de l'Iliade apparaissent hors du groupe de l'*Iliade*. Plus significative est la différence entre la première et la seconde moitié du poème *Les Travaux et les Jours*, habituellement attribués à Hésiode. Ces deux parties pourraient difficilement être l'œuvre du même auteur.

Keywords: Greek epics, Homer, Hesiod, authorship problems, Homeric Question, cluster analysis, letter-distribution, sound usage. Mots-clés : Épopées grecques, Homère, Hésiode, problèmes d'attribution, question homérique, analyse de cluster, fréquence des lettres, utilisation sonore.

1. Introduction

Astonishing and not easily explained is the fact that based exclusively on letter-distributions, text portions of ca. 1,000 words (or more) can be attributed with a high degree of certainty to the correct author and often even to the appropriate work¹. A few years ago, G.R. Ledger could demonstrate this

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¹ *I.e.* the simple frequencies of the letters of the alphabet.

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phenomenon for quite some number of prose works of classical Attic², but he did not give an explanation. Later, in his book on the chronology of Plato's works³, he tried to explain a special case in the appendix. Having found that an increasing frequency of v and a decreasing frequency of ι at the end of words are most important in the chronology of Plato's works, he compared the frequencies of words like εl , $\varepsilon \sigma \tau l$, $\delta \tau \iota$, $\mu o \iota$ etc. in Plato's *Euthyphro* and *Critias*. Indeed they proved to be more frequent in the *Euthyphro*, which is an early work. By this type of argument, sound characteristics must appear to be a mere consequence of the choice of words. Ledger did not take into account phenomena such as the more cautious avoidance of hiatus in Plato's later works: an avoidance partly connected with the more frequent use of movable v.

On the other hand, an argument can be made that in some respect the choice of sounds may have influenced the choice of words and endings. In my *Sprachstatistische Untersuchungen zu den Briefen und Reden des Libanios*⁴, I arranged the *Epistles* into groups according to the year when they were written, and I classified these year-groups just as Ledger classified his 1,000-word-portions of classical Attic prose, *i.e.* by cluster analysis. In the classification obtained, the various parts of the corpus of *Epistles* appeared in a system of groups and subgroups, which corresponds well to the actual chronology. In the following example, the parts of the *Epistle*-corpus are represented by the year they were written, and the grouping obtained is represented by a system of parentheses:

$$\left(\left(\left((355(356,357)),(359(358,360))\right),\left((362(361,363),(364,365))\right)\right),\\ \left((388,390),(391(392,393))\right)\right)$$

As can be seen, the late *Epistles* (second line) are well separated from the earlier ones (first line), and only the groups 359 and 362 deviate slightly

² LEDGER (Gerard R.): 1985, "A New Approach to Stylometry", Association for Literary and Linguistic Computing Bulletin, XIII, 3, pp. 67–72. Within each word, Ledger counted only the mere occurrence of any letter (instead of using the exact letter frequencies). He obtained similar results, when taking into account only the last three letters of a word,

³ LEDGER (Gerard R.): 1989, *Re-counting Plato. A Computer Analysis of Plato's Style* (Oxford: The Clarendon Press).

⁴ My 'Habilitationsschrift', hitherto unpublished. Other than Ledger, I started from the exact letter-frequencies.

from the correct chronological order. If Libanius' *Speeches*, too, are arranged in certain groups and introduced into the classification, the *Epistle*-groups remain clearly separated from the *Speech*-groups. So far Ledger's results are confirmed by my own, and it may be added that letter-distributions yield meaningful classifications not only as far as authors and individual works are concerned, but also with respect to chronology⁵. Furthermore, in the *Epistles* of Libanius long term trends of increasing or decreasing frequency of the letters ν , ρ , ξ , ψ , χ and φ can be detected. These trends cannot be sufficiently explained by any influence of single words⁶. It must be assumed that a multitude of words exerts a similar influence, and this would mean that the choice of words is influenced by certain trends in the use of sounds. Such tendencies may correspond to changes in euphonic feeling.

It is not the purpose of this paper to come to a clear decision of whether letter-distributions are mainly influenced by the simple choice of words and endings or whether this choice is in its turn influenced by principles of sound usage in a characteristic way. At present I would favour the second possibility. From this point of view, a letter-distribution may be understood as a type of sound-spectrum. It describes what might be called the overall sound or acoustical forming of a text. Yet whatever may be the right explanation of the characteristics of letter-distributions, the above remarks hopefully show that they are of some importance.

Does it make sense to transfer a statistical procedure, which has proved successful for authors of Attic and Atticistic prose, to epic language? My initial doubts came less from the fact that epic language is an artificial one, a mixture not only of different dialects but also of older and younger layers. More important seemed to be that older text-passages might have been penetrated by younger sections. The main obstacle, however, could be that the formulaic character of epic language and the influence of rhapsodic tradition might not have left enough freedom for individual formulation and acoustic formation. On the other hand, one could hope that the use of sounds was observed more diligently in poetry than in prose. In any case it was necessary to include

⁵ In the case of Libanius, a restriction to the last three letters of a word yields less satisfying results.

⁶ An influence of single words should appear at least in the case of $\varkappa \alpha t$ and of the article, the most frequent words in Libanius. $\varkappa \alpha t$ becomes more and more frequent in the *Epistles*, and it shows the strongest trend of all words. Thus the letters \varkappa , α and t should become more frequent in the later *Epistles*, but they do not so. Similar observations can be made in the case of the article. Less frequent words and words with weaker trends will obviously not reach any conceivable influence of $\varkappa \alpha t$ or the article.

control groups in the study, *i.e.* text portions where the relationships are clear and the authors known. Only such control groups would provide the possibility of comparison, so that, *e.g.*, the classification of the text portions of *Iliad* and *Odyssey* could be judged and interpreted. For this purpose I have included the *Argonautica* of Apollonius Rhodius, divided into nine parts. First I intended to concentrate on the Homeric Question and to use the works of Hesiod as a second control group, but the results show that the Homeric poems can better be regarded as a control group for the works usually attributed to Hesiod. Anyway, the parts of the *Argonautica* should form a special subgroup in the classification, and this subgroup should stand rather apart from the old hexametric poetry, since Apollonius Rhodius belongs to the Hellenistic period. This is the most important criterium that a meaningful result should fulfill. The control groups and the texts studied must of course be works of the same literary genre, since the example of Libanius' *Epistles* and *Speeches* has shown that the genre, too, may influence the letter-distributions.

2. The method

The basic material for my study are the machine readable texts of the Thesaurus Linguae Graecae in Irvine (California), in particular Iliad and Odyssey, the four longer Homeric Hymns (to Demeter, Apollo, Hermes and Aphrodite), the works attributed to Hesiod (Theogony, Works and Days, Scutum, Fragments) and the Argonautica of Apollonius Rhodius. These texts, however, had to be prepared for my special purposes, *i.e.* title-lines and other non-text had to be deleted, adscript t (in the Fragments of Hesiod) and subscript ι (in the other texts) had to be unified, reference numbers had to be generated, and the files had to be split into small ones, namely into the text portions which were to be classified by cluster analysis. In the simplest case, these text portions or sections correspond to single books of the Iliad or the Odyssey, but where Analysts had isolated a major part of a book, the book had to be divided into two parts, at least in the more important cases. It is clear that this partitioning must follow the lines of analytic theses, since my aim is to check a variety of such theses by means of automatic classification according to letter-distributions, i.e. by means of an independent criterion. As to Hesiod and Apollonius Rhodius, the text sections are chosen mainly according to the contents. The four Homeric Hymns have not been split. Following is a list of the various text sections and of the corresponding abbreviations used.

Iliad:

<i>II.</i> 1	A	
<i>ll</i> . 2c	B_2	(494–779) catalogue of Achaean ships.
<i>ll</i> . 2r	B ₁	(1–493, 780–877) remainder.
<i>Il.</i> 3	r	
<i>II.</i> 4	Δ	
<i>II.</i> 5	E	
<i>Il</i> . 6h	\mathbb{Z}_2	(237-502) scene between Hector and Andromache.
II. 6r	Z_1	(1–236, 503–529) remainder.
<i>II.</i> 7a	H_1	(1-322) duel between Hector and Aeneas.
<i>II</i> . 7b	H_2	(323-482) burials, the wall is built.
<i>II.</i> 8g	Θ	(1-52, 350-484) scenes with gods.
<i>Il.</i> 8r	Θ	(53-349, 485-565) remainder.
<i>II.</i> 9p	Ι	(430–605) speech of Phoenix.
<i>Il.</i> 9r	Ι	(1-429, 606-713) remainder.
<i>Il.</i> 10	К	Doloneia.
<i>II</i> , 11a	Λ_1	(1–596) three Achaean leaders wounded.
<i>II</i> . 11b	Λ_2	(597–848) Nestoris.
<i>II</i> . 12	Ň	
<i>Il.</i> 13	N	
<i>Il.</i> 14	Ξ	
11. 15	0	
11. 16	П	
11. 17	P	
II. 18a	Σ_1	(1-467)
<i>II</i> 18h	Σ_{2}^{-1}	(468–617) the shield of Achilles.
II. 100	T_2	
11. 20a	Ŷ,	(156–308) perhaps from Aeneas-poem.
11 20h	$\tilde{\mathbf{r}}_{1}$	(1-155, 309-503) remainder.
11 21	т <u>,</u>	(1 x00,005 000)10111111001
11. 22	x	
11. 22 11. 23a	й.	(1_257) funeral of Patroclus
11. 23a 11. 23b	*1 ឃ	(257-807) funeral games
11. 250	*2 O	(257-697) functal games.
11. 24	3L	

Odyssey (only the last book is split):

<i>Od.</i> 24a	$\omega_{\rm I}$	(1-204) scene in the nether world.
<i>Od.</i> 24b	ω2	(205–548) remainder.

Theogony:

Th. 1	Theogony 1–616, introduction and genealogy of the gods.
Th. 2	Theogony 617–964, fights of the gods.
	[Theogony 965–1022, liaisons of goddesses and mortals, excluded]

Works and Days:

- Op. 1 Opera 1-382, introduction, exhortation and myths.
- *Op.* 2 *Opera* 383–693, instructions for farming and shipping. [*Opera* 694–763, practical advices, perhaps genuine, but precautionarily excluded] [*Opera* 764–828, good and bad days, excluded as spurious]

Fragments of the Catalogue:

Fr. 1	Fragments 1–121, 123, 245, Aeolidae.
Fr. 2	Fragments 122, 124–159, Inachi progenies,
	Fragments 160–168, Pelasgi progenies,
	Fragments 205–244, Catalogi fragmenta incertae sedis.
Fr. 3	Fragments 169–204, Atlantides.

Other Fragments:

Fr. 4	Fragments 246–262, Megalai Eoiai,
	Fragments 263-342, various contents.
	[Fragments 343-363, Fragmenta dubia, excluded]
	[Fragments 364-413, Spuria, excluded]

Argonautica:

- Arg. 1a
 book I, 1–608.

 Arg. 1b
 book I, 609–1362.

 Arg. 2a
 book II, 1–647.

 Arg. 2b
 book II, 648–1285.
- *Arg.* 3a book III, 1–743.
- *Arg.* 3b book III, 744–1407.
- Arg. 4a book IV, 1-551.
- Arg. 4b book IV, 552–1222.
- Arg. 4c book IV, 1223-1781.

Experience shows that each of the text sections considered should have at least 1,000 words, and this quantity is usually reached with ca. 150 hexametric verses. Therefore the following suspected passages could not be treated as separate text portions:

В	336-397	speeches of Nestor and Agamemnon.
в	459–493	similes and address to the Muses.
Z	119-236	perhaps from a Glaucus-poem.
σ	214-243	according to Schadewaldt an addition.
τ	395-466	according to Wilamowitz an interpolation.
ψ	297-372	ψ2.

Th.	411–452	Hecate-passage.
Th.	820-880	Typhoeus-passage.

The letter-distributions of the examined text portions are shown in a set of four tables. Tab. 1 records the mere occurrence of the letters in the words. For each text part and each letter of the alphabet it gives the number of words which contain this letter. In a way, this table counts only the first (or only the last) occurrence of a letter in a word; it provides the type of information used by Ledger in his investigation of Attic writers. Tab. 2 shows how many words contain a given letter at least twice; thus it counts the second (or second from last) occurrence of a letter in a word⁷. In the same way, Tab. 3 and Tab. 4 count the third and the fourth occurrences, respectively. If these four tables are cellwise summed up, the real letter-frequencies are obtained with sufficient accuracy, since very few words contain a given letter five times⁸.

The tabulation of the letter-frequencies in separate tables, just as in layers, enables us to start our investigation with the simple occurrence of the letters in the words and to add stepwise the second, the third and the fourth occurrences. This yields a series of four classifications, the first corresponding to the way chosen by Ledger and the last corresponding to my way of proceeding in the case of Libanius. In this way, a premature decision for one method or the other can be avoided, however the problem of choice and judgment arises.

From any two rows of a letter-distribution-table, as obtained by cellwise summation, a dissimilarity coefficient has been calculated for the corresponding pair of text portions. For this coefficient I have chosen the χ^2 -value which results from testing two distributions for equality. The more different the distributions, the higher the χ^2 -value, so that it resembles a distance measure. Indeed, as the Euclidean distance is the square root of a sum of squares (cf. Pythagoras), so the χ^2 -value is a sum of squared differences, but these differences are normed, so that text portions of different length may well be compared. The dissimilarity coefficients have been gathered in a table, which much resembles a table of distances between towns. This dissimilarity table has been taken as the basis for automatic classification by cluster analysis,

 $^{^{7}}$ Note that first, last, second etc. do not necessarily refer to the natural order of the letters in the word. The results would be the same for any permutation of the letters within a word.

⁸ Indeed these words are so few that any possible effect is eliminated by the weighting procedure described below and by mere rounding off in the subsequent calculation steps. There is, by the way, no word in the texts regarded which contains a letter six or more times.

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Table 1

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r 36 21 37 37 14 19 12 26 15 25
P 41 16 39 46 94 26 26 26 10 23 48 13 35
0 276 189 287 292 449 136 124 162 81 106 178 90 206
x 1001 1331 002000
n 217 148 223 165 293 100 126 53 154 65 185 154 65 185
53 53 52 52 52 52 52 52 52 52 52 52 52 52 52
144 42 90 71 84 151 57 53 44 21 33 58 58 119
k 13 25 24 24 24 18 25 24 24 24 24 24 24 24 24 24 24 24 24 24
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77 33 45 59 10 31 8 59 10 31 8 59 27 31 42 59 27 31 42 59
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a 7888 1298 1387 1 233 233
9 17 12 14 22 55 67 52 25 12 15 12
b 432148333100313
a 475 239 452 318 355 505 190 173 238 115 122 235 113 429 296
11.1 11.2c 11.2r 11.3 11.4 11.5 11.6r 11.8a 11.8a 11.8a 11.8a 11.8a 11.9p 11.9p

Table 2 Frequencies of the second occurrence of a letter in a word

Extrait de la Revue Informatique et Statistique dans les Sciences humaines XXXI, 1 à 4, 1995. C.I.P.L. - Université de Liège - Tous droits réservés.

11.1.2c 11.		
347755424774543121291615269323653173131312020538864851772632553135273988773727312231343933442227227744208403034034654564	a	
	b	
002010100000100000000000000000000000000	9	
000101010001000002101000002000011000400000000	d	
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286577033375803583766777420624777463199876942120421762212199711888877355386626632282	i	
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102010101000111002001000000000000001012112010101010100001010200211001010101	k	
102664262203103613866943506333145212371224601122335424235324630731105340	1	
000006010000121000103101120200610030021011020010010014101000000	I	
824559032202348804284375234857672763023214753692511574545534524493007764	D	
000000000000000000000000000000000000000	X	
213137123997535910615980497076794910009793578366553660509281818713688083455	0	
00201200000001200240000000101000000210003100220002101012001010011000000	P	
000H0+000001102100120001M1001000000011000M1221000010101100050M1101001022	1	
2774497708244999069920544777775743079895221944633839382742528333221212212225	s	
57446002222305642587788233216710613193127431332143252235213246601006261	t	
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000000000000000000000000000000000000000	ph	
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Table 3 Frequencies of the third occurrence of a letter in a word

th pù ¢h ps þ d ē i sub k ŧ y a g ê Z 閲 n X ₽ ľ s Q 11.1 11.2c 11.2r 11.3 11.4 11.5 11.6h 11.6h 11.6r 11.7a 11.7b Ô ð Ô 8 13 Û ò ð ð Q ō Ō Ó Õ Û ō ŏ ð Q 11.89 11.87 11.90 11.97 11.10 11.11a Ó Ō 2 â Ō Õ 0 0 0 3 13 5 8 4 â 0 ŏ Õ 0 0 11.114 11.12 11.13 11.14 11.15 11.16 11.17 11.18a Ô ē Ó ð ð 0 0 11.185 11.19 11.20a 11.20r 11.21 11.22 11.23a 11.23b 11.24 03.1 04.2 04.3 0 Õ ĺ Ô Û D Õ Ô ġ Ô 001.04.05.6.7.8.9.00.00.01.112 001.04.05.6.7.8.9.00.00.01.112 001.04.01.112 001.04.01.112 001.01.1 Õ 0 6 4 1 ŏ Õ 0 å Õ 7 9 0 õ 8 11 5 2 12 5 12 5 12 Ā Ō Ô ð Ō 0 0 0 Ò Ô 5 Ô $\frac{1}{2}$ 1) 9 0 ð 1ľ ŏ 0 0 0 6 10 Ô Õ ò ŏ Õ Ō 0 ò ð Õ Õ Ô Ô ð 0 0 Arg. 3b Arg. 4a Arg. 4b i Õ Ŏ ô ò ð ŏ Ō ò ŏ Õ Arg. 4c Ő

Table 4 Frequencies of the fourth occurrence of a letter in a word

following the average-linkage pair-group method. The resulting system of groups and subgroups is usually represented by a dendrogram. For the present study, I have standardized my dendrograms⁹ so that the last union, *i.e.* that of the most dissimilar groups, occurs always at a standardized dissimilarity of 100. The corresponding scales are given together with the dendrograms.

3. Results

In this section, I shall describe the mainlines of my proceeding from the first result (Fig. 1) to the last (Fig. 4), which also seems to be the best one. Fig. 2 and Fig. 3 are not the only intermediate results, but the others (so far as they are of any interest) can be described with a few words by reference to these four dendrograms. It is important to show how the results partly fluctuate and partly remain stable, as the basic data and the way of using this data changes. Furthermore, it is necessary to discuss the arguments for any preference of one result over another.

The dendrogram of Fig. 1 is based on Tab. 1 only, *i.e.* on the simple occurrence of the letters in the words. The nine parts of the *Argonautica* form a well separated cluster, which does not include other text portions. Obviously the classification is meaningful, and this is corroborated by some features of interest:

- 1) There is a large Homeric cluster, which contains almost all of *Iliad* and *Odyssey*, but very little of other authors. This cluster differs from the group of other old epics almost as much as from the *Argonautica*.
- 2) There is a very homogeneous *Iliad*-cluster, which contains 24 of the 33 *Iliad* parts.
- 3) Both parts of the *Theogony* and all three parts of the *Catalogue* constitute the correct groups. The first part of *Works and Days*, however, is very isolated.
- 4) There is also an Odyssey-cluster, but it contains eight parts of the Iliad (II. 3, Il. 9r, Il. 24, Il. 19, Il. 6h, Il. 9p, Il. 7b, Il. 10), two of the Homeric Hymns (Dem., Aphr.) and the fourth section of the Hesiodic fragments (Fr. 4¹⁰). Four books of the Odyssey appear rather isolated (Od. 2, Od. 8, Od. 3, Od. 9), while Od. 12 and Od. 21 stand outside the Homeric cluster.

⁹ The standardization is obtained by simple linear transformation.

¹⁰ Fragments of various works and doubtful authority.



Fig. 1.- Only one occurrence of a letter in a word counted; scale value 100 = cluster-distance 108.3



Fig. 2.- Up to two occurrences of a letter in a word counted; scale value 100 = cluster-distance 124.7



Fig. 3.- Up to three occurrences of a letter in a word counted; scale value 100 = cluster-distance 125.7





5) The Achaean catalogue (*ll.* 2c) stands apart from all the other text parts. Even the *Argonautica* are more similar to the old epics.

The dendrogram of Fig. 2 is based on the 'first' and the 'second' occurrence of a letter in a word. It differs from the previous one mainly in the following respects:

- 1) There emerges an old-epics-cluster as opposed to the Argonautica (with the exception of Arg. 3a).
- The Odyssey-cluster is more homogeneous; it contains only six parts of the Iliad (Il. 8g, Il. 9p, Il. 10, Il. 9r, Il. 19, Il. 6h) and only a single Homeric Hymn (Dem.), but it also contains one part of the Argonautica (Arg. 3a). All in all, the Odyssey-cluster now contains less alien material (3 parts less).
- 3) The *Iliad*-cluster appears slightly fuller; it now contains 25 parts of the *Iliad*, but also a book of the *Odyssey* (*Od.* 3).

While the clusters of *lliad* and *Odyssey* are better separated in Fig. 2, the Homeric cluster as a whole has undergone little change. *Od.* 21 has been caught by the *Odyssey*-cluster, but now *ll.* 7b stands outside the Homeric cluster. Apart from the *Achaean catalogue*, *Op.* 1, too, is placed far apart from all the other texts. So far the improvements over Fig. 1 seem to prevail, but the intrusion of *Arg.* 3a into the *Odyssey*-cluster is a serious disadvantage. While much more information has been used for the classification of Fig. 2, it would be difficult to maintain that the result as a whole is better. We must conclude, therefore, that the full use of the 'second' occurrence of a letter in a word includes an influence that counteracts the improvement which should be expected from the use of more complete information. This effect becomes even more clear in the classification based on up to three occurrences of a letter in a word.

The dendrogram of Fig. 3 is based on the 'first', the 'second' and the 'third' occurrence of a letter in a word. Among the minor changes, it may be noted that *Fr.* 4 and the *Hymn to Aphrodite* again enter the Homeric cluster (as in Fig. 1), and that *Arg.* 3a, together with two isolated books of the *Odyssey* (*Od.* 8, *Od.* 21) finds its place on the edge of the Homeric cluster. Important, however, is the fact that *Iliad* and *Odyssey* are no longer well separated. There remains a distinct subgroup of the *Iliad* (*Il.* 12, *Il.* 13, *Il.* 4, *Il.* 17, *Il.* 16, *Il.* 18b, *Il.* 5, *Il.* 8r, *Il.* 11a + *Scutum*), and there remains a rather homogeneous subgroup of the *Odyssey* (*Od.* 9, *Od.* 10, *Od.* 5, *Od.* 23, *Od.* 22, *Od.* 19, *Od.* 24b, *Od.* 2, *Od.* 16, *Od.* 20, *Od.* 1, *Od.* 4, *Od.* 7 + *Il.* 10 and *Aphr.*), but the latter group is rather closely linked with further Iliadic subgroups and with subgroups mixed from books of both *Iliad* and *Odyssey*.

The poor separation of the great Homeric poems could be used as an argument in favour of the view that they are both works of one and the same author. It has been shown, however, both by Ledger and by myself, that the method applied tends to separate not only different authors, but also individual works. Thus the classification of Fig. 3 must be regarded as a deterioration in comparison with that of Fig. 2 (and of Fig. 1).

But how can more complete data yield less adequate results? It must be supposed that the additional information contained in the second and especially in the third occurrence of a letter in a word is used in an inadequate way when counted in the same manner as the first occurrence. Since the third occurrences are mainly vowels, as an experiment, I made a classification based on consonants only. Iliad and Odyssey were again largely separated, but not as well as before; furthermore, I could not find a really convincing argument as to why vowels should be less important than consonants in a letter-distribution. More plausible appeared a model in which the second occurrence of a letter counts less than the first, the third less than the second, etc. Accordingly, I used the second occurrence with the factor 1/2, the third with the factor 1/3 and the fourth with the factor ¹/₄; a simple weighting scheme, which may be regarded as an analogue to the Weber-Fechner law. This law states that the intensity of perception does not increase linearly with the intensity of a stimulus, but only logarithmically (*i.e.* with decreasing increments). Similar laws have also been formulated for repeated stimuli and for the duration of a stimulus¹¹. It is not necessary, however, to refer to the laws mentioned. The reader may imagine a small white table which has to be covered with some spots of various colours and which bears already some blue and some yellow spots. The first red spot will appear very impressive, since it adds a new element, but the second one will certainly appear less so. — Indeed the weighting scheme described seems to yield the best results.

The dendrogram of Fig. 4 is based on the 'first', the 'second', the 'third' and the 'fourth' occurrence of a letter in a word, weighted with factors $1, \frac{1}{2}, \frac{1}{3}$ and $\frac{1}{4}$ respectively¹². The main features of the classification are the following ones:

¹¹ MEIL1 (Richard) and ROHRACHER (Hubert): 1972, Lehrbuch der experimentellen Psychologie, p. 37 (Bern: Hans Huber). GREGORY (Richard L.): 1987, ed. The Oxford Companion to the Mind (Oxford: University Press).

¹² Without these weights, the dendrogram is very similar to Fig. 3. If, on the other hand, only up to three occurrences of a letter in a word are counted, and if this is done with weights 1, $\frac{1}{2}$ and $\frac{1}{2}$, the result comes already close to Fig. 4.

- 1) All parts of the *Argonautica* (inclusive *Arg.* 3a) form a single cluster, well separated from the old-epics-cluster
- 2) Within the old-epics-cluster, there is a distinct Homeric cluster, which contains almost all of *Iliad* and *Odyssey*, but only two alien text parts (the pseudo-Hesiodic *Scuttum* and the heterogeneous set of fragments designated by *Fr.* 4). Only *Od.* 21, *Od.* 12 and *Il.* 2c (in the order of increasing dissimilarity) are outside the Homeric cluster.
- 3) Iliad and Odyssey are separated slightly better than in the previous classifications. The Iliad-cluster now contains 28 Iliad parts, but it includes two books of the Odyssey (Od. 3, Od. 18). The Odyssey-cluster, on the other hand, now contains only four parts of the Iliad (Il. 10, Il. 7b, Il. 6h, Il. 9p); three of these parts have often been regarded as separate songs or later additions to the Iliad (Il. 6h, Il. 9p, Il. 10). Rather isolated in the Homeric cluster, but slightly closer to the Odyssey, are Od. 8 and Od. 2.
- 4) Apart from the Homeric cluster, there is a less distinct group comprising the four major *Homeric Hymns* and most of Hesiod. The parts of the *Theogony (Th. 1, Th. 2)* and of the *Catalogue (Fr. 1, Fr. 2, Fr. 3)* constitute two well-built subgroups, but they don't unite in a special Hesiodic cluster. The second part of *Works and Days (Op. 2)* is more closely linked with the *Hymn to Hermes* than with the *Theogony* or with the *Catalogue*.
- 5) The four major *Homeric Hymns* appear rather scattered; while the *Hymn* to Apollo is very isolated, each of the other *Hymns* is associated with a different work of Hesiod.
- 6) Far apart from all other texts are the Achaean catalogue (11. 2c) and the first part of Works and Days (Op. 1), each of them in extreme isolation.

The classification described (Fig. 4) corresponds better to the traditional differentiation of authors and works than all previous ones. Since it is known that the method applied tends to separate authors and works, and since the weighting scheme applied has some intrinsic plausibility, we may assume that the good correspondence is not a chance effect, but that the data has been exploited in a more appropriate way. Nevertheless, the present classification, too, will contain some minor chance effects; but in a lesser degree than the previous ones.

Although I am convinced that Fig. 4 deserves more confidence than Figures 1 to 3, the latter ones are still of some importance; they can be used to infer different degrees of stability and robustness. Identical results in all four classifications can certainly be regarded as stable. Those results which are identical in three of the four classifications, and which are supported by Fig. 4 in particular, will be called here almost stable. Less stable will be the category of the results which coincide only in two classifications; if one of these is Fig. 4, they are certainly of some interest, but the evidence leaves some doubts in such cases. Here follows a list of the various results according to the different degrees of stability.

Stable results:

- 1) The Argonautica, except Arg. 3a, form a distinct cluster. In the better classifiations, Arg. 3a joins this cluster, too.
- 2) There exists a Homeric cluster.
- 3) The *Theogony* and the *Catalogue* constitute the appropriate groups, but there is no special Hesiodic cluster.
- 4) The second part of *Works and Days* (*Op.* 2) is never grouped together with the first (*Op.* 1).
- 5) The Scutum always joins the Iliad.
- 6) The *Hymms to Apollo* and *to Hermes* form a loose group together with works of Hesiod.
- 7) The Achaean Catalogue (II. 2c) stands completely isolated.
- 8) The Doloneia (II. 10) always joins the Odyssey-cluster.
- 9) Od. 12, rather isolated, is outside the Homeric cluster.

Almost stable results:

- 10) Iliad and Odyssey are largely separated¹³ (Figures 1, 2, 4; partly in Fig. 3, too).
- 11) *Il.* 6h, the interchange of Hector and Andromache, and *Il.* 9p, the speech of Phoenix, join the *Odyssey*-cluster (Figures 1, 2, 4).
- 12) Od. 21, rather isolated, is only loosely associated with the Homeric cluster (Figures 1, 3, 4).
- Od. 8 and Od. 2 are loosely associated with the Odyssey-cluster (Figures 1, 2, 4).
- 14) Op. 1 stands apart from all other texts, almost as isolated as the Achaean catalogue (Figures 2, 3, 4; also very isolated in Fig. 1).
- 15) Op. 2 is associated with the loose group of Hesiod and the Homeric Hymns (Figures 1, 2, 4); twice forming a subgroup with the Hymn to Hermes, twice with Fr. 4 and II. 7b (the latter subgroup joins the Iliad in Fig. 3).

¹³ The good separation of *Iliad* and *Odyssey* shows that a distinction of direct discourse and narrative passages would hardly influence our results. The percentage of direct discourse in the single books and parts distinguished here is rather different, but nevertheless an *Iliad*-cluster and an *Odyssey*-cluster emerge.

Less stable results:

- 16) Arg. 3a twice joins the Argonautica-cluster (Figures 1, 4); when forming a subgroup with Od. 8, Arg. 3a is loosely associated with the Homeric cluster (Fig. 3) or with the Odyssey-cluster (Fig. 2).
- 17) Il. 7b twice joins the Odyssey-cluster (Figures 1, 4); otherwise Il. 7b constitutes a subgroup together with Op. 2 and Fr. 4 (this group joins the Iliad in Fig. 3 and the loose group of Hesiod and the Homeric Hymns in Fig. 2).
- 18) Il. 9r and Il. 19 twice join the Odyssey-cluster (Figures 1, 2; in Fig. 3, they are in a mixed subgroup of Iliad and Odyssey); always together with Od. 18.
- 19) The Hymns to Aphrodite and to Demeter twice join the loose group of Hesiod and the other Homeric Hymns (Fig. 4, Figures 2 and 3 respectively); otherwise, they are associated with the Odyssey.

These results refer to the main features of the classifications. The details, *i.e.* the small subgroups, are more subject to chance and side effects¹⁴, but they are less important with respect to the authorship problems discussed here. Nevertheless the small groups, too, are often meaningful. This is clearly shown by the *Theogony* (*Th.* 1, *Th.* 2) and the Hesiodic *Catalogue* (*Fr.* 1, *Fr.* 2, *Fr.* 3).

¹⁴ Since the fusion levels of the clusters correspond to χ^2 -values (with 24 degrees of freedom), it is possible to estimate the number of misclassifications which must be expected at certain fusion levels. For error probabilities 0.1 %, 1 %, 5 % and 10 %, the tabulated percentage points of χ^2 with 24 degrees of freedom are 51.18, 42.98, 36.42 and 33.20 respectively, corresponding to scale-values 44.24, 37.15, 31.48 and 28.69 in Fig. 4. Thus partitioning the dendrogram by a vertical cut at scale-value 44, we arrive at a distinction of groups which is most probably not affected by chance, while a vertical cut at scale-value 37 will probably yield one distinction, or bifurcation, which is due to random deviations, and a cut at scale-value 31 should yield about 4 such distinctions. This means, for example, that the distinction of two or even three main groups within the Iliad-cluster seems to be of some importance, whereas the distinction of two main groups within the Odyssey-cluster (at level 37) looks more like a chance effect; and many distinctions at lower levels will, indeed, most probably be due to chance. All this must be taken in the sense of a more or less rough estimation, both because we are dealing only with means of χ^2 -values and because the bifurcations of the dendrogram are not independent of one another, but the present conclusions agree remarkably well with the more intuitive interpretation of the dendrogram.

4. Conclusions

Both the great mass of the *Iliad* and the great mass of the *Odyssey* show an astonishing homogeneity. They resemble in this respect the *Argonautica*, as can be gathered from the dissimilarity levels of the respective unions (cf. Fig. 4):

Argonautica	dissimilarity 39
Iliad-cluster	dissimilarity 42
Odyssey-cluster without Od. 2 & Od. 8	dissimilarity 37
Odyssey-cluster without Od. 8	dissimilarity 43
Odyssey-cluster with Od. 2 & Od. 8	dissimilarity 47
Homeric cluster	dissimilarity 50

Thus both the *Iliad* and the *Odyssey* clusters show a degree of homogeneity which may be expected for a single poet, and although the Homeric cluster is established only at level 50, the great mass of both poems might even appear as the work of one and the same person. An additional argument for this view might be taken from the fact that the Homeric cluster is well separated from Hesiod and the *Homeric Hymns*. But the relative similarity of the *Iliad* and the *Odyssey* clusters may as well have been effected by a special rhapsodic tradition, namely that related with the Trojan theme. In any case, the good separation of *Iliad* and *Odyssey* suggests that these poems, unless they must be assigned to different periods in the life of a single poet, should be regarded as the works of different authors. Since this is the view not only of Analysts, but also of most Unitarians, those few parts of the *Iliad* that appear in the *Odyssey*-cluster deserve some special attention.

II. 10, the *Doloneia*, joins the *Odyssey*-cluster with absolute stability, and it is the only *Iliad* part to do so. Ancient critics remarked that *Il.* 10 was included in the *Iliad* during the time of Pisistratus, and today many Unitarians also regard this book as spurious. Thus the most suspected part of the *Iliad* appears most clearly outside the *Iliad*-cluster. Similarly *Il.* 9p, the speech of Phoenix, and *Il.* 6h, the interchange of Hector and Andromache, join the *Odyssey*-cluster with high stability. These parts, too, have often been excluded from the *Iliad*, and indeed they are among the most suspected passages. Apparently any stable or almost stable inclusion in the *Odyssey*-cluster should be regarded as a strong argument against a genuine Iliadic origin¹⁵. It seems that these parts must be attributed to a somewhat later stage in the rhapsodic

¹⁵ This follows from the coincidence of the most important theses of traditional Homeric criticism with the most conspicuous results of classification according to letter-distributions.

tradition connected with the Trojan events. *Il.* 7b joins the *Odyssey*-cluster with less stability; this may still be of some importance, but the evidence is not sufficient for an exclusion from the main body of the *Iliad*.

II. 2c, the *Achaean Catalogue*, presents a special problem. Apart from the frequent repetition of certain words caused by enumeration, the most conspicuous peculiarity of the catalogue is the abundance of proper names, mostly of the geographical type. Many of these names can be traced back to the Mycenean epoch, so that a high amount of pre-Homeric material seems to have entered the catalogue¹⁶. Unfortunately we cannot decide whether these peculiarities of the *Achaean Catalogue* sufficiently explain its position far apart from all texts regarded here. The *Theogony*, too, contains many proper names, but these are of a different type; thus the *Theogony* is not fully comparable with the catalogue in this respect. But the *Theogony* shows so completely different a behaviour that I tend to assume additional peculiarities in the *Achaean Catalogue*. Such additional peculiarities might well be due to post-Homeric editing or rewriting.

Almost as isolated as the Achaean catalogue appeares Op. 1, that part of Works and Days, which contains a moral address to Perses and the kings, including the myths of Prometheus and Pandora and of the five creations of man. This exhortation part is followed by an instruction part, Op. 2, which refers to the various tasks of the farmer in the course of the year. Some critics of the last century¹⁷ regarded Op. 2, the proper Erga, as genuine and Op. 1 as a heterogeneous compilation, but nowadays their views have almost been forgotten. Now our classifications show that Op. 1 and Op. 2 can hardly be attributed to the same author. Op. 1 does not contain such peculiarities as the Achaean catalogue, so that its isolation in the dendrograms will mainly follow from differences in authorship. Indeed, if the classifications contain any reasonable distinction between the authors of Iliad and Odyssey, or between Homeric and non-Homeric poetry, then Op. 1 cannot be attributed to the author of Op. 2; nor to the author, or authors, of the Hesiodic Catalogue and of the Theogony.

The remaining works usually ascribed to Hesiod, *Theogony*, the *Catalogue* and *Op.* 2, never constitute a Hesiodic cluster. The *Theogony* and the

¹⁶ This may well have happened during early epic tradition, but the same proper names may also be part of later additions to the *Iliad*; cf. also KIRK (G.S.): 1962, *The Songs of Homer* (Cambridge: The University Press).

¹⁷ SUSEMIHL (Franz): 1864, "Zur Literatur des Hesiodos", Neue Jahrbücher für Philologie und Pädagogik 89, pp. 1–10, 729–753.

Catalogue appear more distant from one another than *Iliad* and *Odyssey*, and so do *Theogony* and *Op.* 2. Only *Op.* 2 and the *Catalogue* appear less distant, but each of these poems seems to have even closer connections (though less stable ones) with one of the *Homeric Hymns.* Thus the dendrograms support the view that, unless *Iliad* and *Odyssey* are the work of a single poet, the *Theogony* and the *Catalogue*, and possibly *Op.* 2, too, have to be assigned to different authors; otherwise, Hesiod would appear as so variable a poet that he spoke with the tongues of many. Yet this conclusion is less stringent than the separation of *Op.* 1 from all other works ascribed to Hesiod.

A last conclusion refers to Wilamowitz' analysis of Homer¹⁸, but the type of argument might well be applied to other analytical theses, too (*e.g.* to those of Mazon, Theiler or Von der Mühll¹⁹). Wilamowitz divided the *Iliad* into nine parts of different origin:

a) Pre-Homeric:

group 1: *II*. 2, *II*. 3, *II*. 4, *II*. 5. group 2: *II*. 11a. group 3: In *II*. 12, *II*. 13, *II*. 14, *II*. 15 remainders of a Hector-poem. group 4: *II*. 16 (Patrocly).

b) Homer:

group 5a: *Il.* 1. group 5b: In *Il.* 13, *Il.* 14, *Il.* 15 the scenes with gods. group 5c: *Il.* 21, *Il.* 22, *Il.* 23a.

c) Post-Homeric:

group 6: *II*. 18, *II*. 19, but *II*. 18b taken from an older source. group 7: much in *II*. 20 and *II*. 21. group 8: *II*. 23b, *II*. 24. group 9: *II*. 8, *II*. 9, *II*. 10, but *II*. 9 and *II*. 10 largely taken from earlier poets.

Since the great mass of the *Iliad* forms a well defined cluster in most of our dendrograms, we should expect that differences of authorship appear at dissimilarity levels which at least come close to the above mentioned levels of the *Argonautica*, the *Iliad* and the *Odyssey* clusters. This is to say that any

¹⁸ WILAMOWITZ-MOELLENDORFF (Ulrich von): 1920, *Die* Ilias und Homer (Berlin: Weidmann); WILAMOWITZ-MOELLENDORFF (Ulrich von): 1927, *Die Heimkehr des* Odysseus (Berlin: Weidmann). Good overviews can be found in HEUBECK (Alfred): 1974, *Die Homerische Frage* (Darmstadt: Wissenschaftliche Buchgesellschaft).

¹⁹ MAZON (Paul) et alii: 1959, Introduction à l'Iliade (Paris: Soc. d'éd. Les Belles Lettres), pp. 137-299. THEILLER (W.): 1947, "Die Dichter der Ilias", Festschrift Edouard Tièche (Bern: Lang & Cie), pp. 125-167. VON DER MÜHLL (P.): 1952, Kritisches Hypomnema zur Ilias (Basel: Reinhardt). Cf. also HEUBECK pp. 15s., 19s. and 26s. To check the theses of Mazon, Theiler and Von der Mühll, further books would have to be split.

partitioning proposed by an analytical thesis should be largely compatible with the main groups of the *Iliad*-cluster. Referring to Fig. 4, we see that Wilamowitz' group 5c indeed constitutes a subgroup in the dendrogram (albeit together with *Il.* 18a), and that his group 5a, too, belongs to the same main group; group 5b, finally, cannot be separated from group 3 here, so that *Il.* 13, *Il.* 14 and *Il.* 15 may well be allowed to appear in a different branch of the *Iliad*-cluster. So far the parts ascribed to Homer himself appear compatible with the classification, but they have been associated with parts ascribed to later poets (*Il.* 18a, *Il.* 18b, *Il.* 20, *Il.* 23b). Furthermore, the reader will see that most of Wilamowitz' pre- and post-Homeric groups are not compatible with the main groups of the *Iliad* in Fig. 4. Admittedly, the internal grouping of the *Iliad*-cluster is not very stable, but I would expect that a valid analysis corresponds better to Fig. 4, at least.

Within the Odyssey, Wilamowitz recognizes the following special groups:

group 1: Od. 2, Od. 3, Od. 4. group 2: Od. 13, Od. 14. group 3: Od. 18, Od. 19. group 4: Od. 21, Od. 22, Od. 23.

Od. 13 and Od. 14 appear in different branches of the Odyssey-cluster, possibly a chance effect. Groups 1, 3 and 4, however, are not compatible with the isolated position of certain books outside the Odyssey cluster, a feature related to higher significance levels. Thus Wilamowitz' analysis as a whole does not find much support from our classifications.

The article should be concluded with a note of caution. While most of the distinctions obtained at high significance levels²⁰ are obviously due to differences of authorship, at least one distinction of medium significance is due to other differences: the distinction of two main branches in the *Argonautica* at scale-value 39. In general, differences not related with authorship may refer to the period in the life of a poet, to the way of representation (different amounts of direct discourse, similes etc.), and even to the literary genre: within hexametric poetry, we may distinguish didactic poems, hymns and catalogues from heroic epics in the proper sense. With regard to authorship, all this may cause side-effects. Thus, while the distinction of two main branches in the *Odyssey*-cluster (at level 37) could be explained mainly by random variation in the letter-distributions, in the *Argonautica* (split at level 39) and in the *Iliad* (split at level 42) a somewhat higher amount of side-effects should be assumed. As to literary genre, *Op.* 2, a piece of instructional poetry, and the

 $^{^{20}}$ I.e. at scale-values greater than 44 in Fig. 4.

Theogony are certainly of a different type; but can this sufficiently account for the distance of these poems in the dendrogram? The relative similarity of *Op.* 2 and the *Hymn to Hermes* does not support this view. The Hesiodic *Catalogue*, too, is closer to *Op.* 2, although it would fit much better to the *Theogony* with regard to literary genre. The *Homeric Hymns*, finally, although of the same type of hexametric poetry, appear rather scattered in the dendrogram. Only in the *Achaean catalogue* (*II.* 2c) should a heavy influence of literary genre be assumed, since it is, as has often been stated, "markedly different in many respects from the rest of the *Iliad*^{w21}; its extreme isolation is probably best explained by a combined influence of both authorship and side-effects, but this is far from being an established result. In general, there remains a certain need for a better discrimination between authorship and side-effects, a task which must be left for future investigations. It can be hoped that such investigations will also lead to a better understanding of the isolated position of some books of the *Odyssey*.

²¹ Kirk (1962), p. 118.